

BE IT KNOWN, that **David Karl Stroup** has invented a new and useful improvement in:

**FLUID-TRANSFER COLLECTION ASSEMBLY  
AND METHOD OF USING THE SAME**

PROCOPIO, CORY, HARGREAVES & SAVITCH, LLP  
530 B Street, Suite 2100  
San Diego, California 92121

Express Mail Label No. EL 986 040 454 US  
Date of Deposit; December 9, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
\_\_\_\_\_  
Karen M. Cruz

## FLUID -TRANSFER COLLECTION ASSEMBLY AND METHOD OF USING THE SAME

### CROSS-REFERENCE TO RELATED APPLICATION

[01] This application is a continuation-in-part application of U.S. Application No.

5 10/113,456, filed March 28, 2002, issued as U.S. Patent 6,660,527 on December 9, 2003.

### FIELD OF THE INVENTION

[02] The present invention is, in general, in the field of fluid-transfer collection

10 assemblies, and, in particular, in the field of fluid transfer and mixing collection assemblies.

### BACKGROUND OF THE INVENTION

[03] Collection kits used for testing one or more analytes of a sample include multiple

15 separate components such as a pipettes, collection tubes, vials or ampoules containing needed diluents or reagents, and test media devices. Because these collection kits have so many separate pieces, in most cases, use of such collection kits has been limited to a laboratory. Simple tests may be performed outside of the laboratory using only test media devices, but these test media devices are limited as to the types of tests  
20 that can be performed. More elaborate tests require diluents, pipettes, collection tubes, etc., and are difficult and awkward to perform outside of the laboratory.

[04] Accordingly, a need exists for a simple fluid transfer and mixing collection assembly that does not include numerous separate pieces, is easy to use, can be used for multiple different types of tests and can be used in and outside a laboratory.

## SUMMARY OF THE INVENTION

[05] Accordingly, an aspect of the invention involves a fluid transfer and mixing collection assembly. The collection assembly includes a base, a test media carried by the base, an inlet for receiving a first fluid, the inlet including an inlet check valve, an outlet including an outlet check valve, a bladder carried by the base between the inlet and the outlet and including an interior with a second fluid therein, and a depressable, flexible member carried by the base between the inlet and the outlet and including an interior. A membrane separates the interior of the bladder from the interior of the flexible member. The flexible member includes an exterior surface, an interior surface, and a pointed member extending from the interior surface of the flexible member. The flexible member is depressable to cause the pointed member to rupture the membrane, releasable to draw the first fluid into the interior of the flexible member through the inlet check valve to mix with the second fluid, and depressable again to pump the mixed first and second fluids out of the interior of the flexible member through the outlet check valve and be transferred to the test media.

[06] Another aspect of the invention involves a method of using a fluid transfer and mixing collection assembly. The method includes providing a fluid transfer and mixing collection assembly including a base, a test media carried by the base, an inlet for receiving a first fluid, the inlet including an inlet check valve, an outlet including an outlet check valve, a bladder carried by the base between the inlet and the outlet and including an interior with a second fluid therein, and a depressable, flexible member carried by the base between the inlet and the outlet, the flexible member including an interior, a membrane separating the interior of the bladder from the interior of the

flexible member, the flexible member including an exterior surface, an interior surface,  
and a pointed member extending from the interior surface of the flexible member;  
depressing the flexible member to cause the pointed member to rupture the membrane;  
releasing the flexible member to draw the first fluid into the interior of the flexible  
5 member through the inlet check valve to mix with the second fluid; and depressing the  
flexible member to pump the mixed first fluid and second fluid out of the interior of the  
flexible member through the outlet check valve and be transferred to the test media.

[07] A further aspect of the invention involves a fluid-transfer collection assembly.

The collection assembly includes an inlet for receiving one or more fluids, the inlet  
10 including an inlet check valve, an outlet including an outlet check valve, a test media,  
and a depressable, flexible member located between the inlet and the outlet and  
including an interior. The flexible member is depressable to cause one or more fluids to  
exit the interior of the flexible member through the outlet check valve and be transferred  
to the test media and releasable to draw one or more fluids into the interior of the  
15 flexible member through the inlet check valve.

[08] A still further aspect of the invention involves a method of using a fluid-transfer  
collection assembly. The method includes providing a fluid-transfer collection assembly  
including an inlet for receiving one or more fluids, the inlet including an inlet check  
valve, an outlet including an outlet check valve, a test media, and a depressable,  
20 flexible member located between the inlet and the outlet and including an interior;  
depressing and releasing the bulb pump to draw one or more fluids into the interior of  
the bulb pump through the inlet check valve; and depressing the bulb pump again to

cause the one or more fluids in the interior of the bulb pump to exit the interior of the bulb pump through the outlet check valve and be transferred to the test media.

[09] Further objects and advantages will be apparent to those skilled in the art after a review of the drawings and the detailed description of the preferred embodiments set forth below.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[10] Figure 1 is a top plan view of a fluid transfer and mixing collection assembly constructed in accordance with an embodiment of the invention.

10 [11] Figure 2 is a cross-sectional view of the fluid transfer and mixing collection assembly of Figure 1 taken along line 2-2 of Figure 1.

[12] Figure 3 is a cross-sectional view, similar to Figure 2, of the fluid transfer and mixing collection assembly of Figure 1 and illustrates a flexible member of the assembly in a depressed condition and a bladder of the assembly in a ruptured condition.

15 [13] Figures 4A-4D illustrate an exemplary method of using the fluid transfer and mixing collection assembly of Figure 1.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

[14] With reference to Figures 1-4, an embodiment of a fluid transfer and mixing collection assembly 10, and method of using the same will now be described. Further below, the collection assembly 10 will be described as an optical assay test device in an optical assay test method; however, the collection assembly 10 may be used in other devices, processes, and applications where mixing of two or more fluids and/or delivery of one or more fluids to a collection area is desired.

[15] The collection assembly 10 includes a substantially flat, rectangular, plastic base 20 that carries a bulb pump 30 and a reagent bladder 40 separated by a pierceable membrane 50.

[16] The bulb pump 30 may be a flexible, depressable, domed, elastic member

5 having an exterior surface 52 and an interior surface 54. A spike 60 extends downward from the interior surface 54 towards the pierceable membrane 50. The spike 60 may be formed along with the bulb pump 30 or may be a separate element that is fixed to the interior surface 54 of the bulb pump 30 (e.g., a stylet or other pointed member).

Although the bulb pump 30 is shown as being located on an upper surface of the base 10 20 and oriented in an upward direction, in alternative embodiments, the bulb pump 30 may be located at other locations on the base 20 and may be oriented in one or more of an upward, a downward, a lateral, a forward, and a rearward direction with respect to the base 20. Similarly, the bladder 40 may be located at other locations on the base 20 and oriented differently.

15 [17] The pierceable membrane 50 is a thin, rupturable membrane and includes an upper surface 62 exposed to an interior 64 of the bulb pump 30 and a lower surface 66 exposed to an interior 68 of the bladder 40.

[18] In the embodiment shown in the Figure 2, the fluid in the interior 64 of the bulb pump 30 is air and the fluid in the interior 68 of the bladder 40 is one or more chemical 20 reagents or diluents. In alternative embodiments, one or more different types of fluids may be used in the bulb pump 30 and the bladder 40.

[19] A fluid path 69 is located directly above the upper surface 62 of the pierceable membrane 50 between an inlet check valve 70 of an inlet 72 and an outlet check valve

80 of an outlet 82. The inlet 72 may include an inlet port 90 that communicates with a sample tube 100. The sample tube 100 may include a proximal end 102 and a distal end 104. The outlet check valve 80 communicates with a test media 110 via one or more fluid paths 120. The check valves 70, 80 include locking mechanisms and  
5 unlocking mechanisms 74, 84 that are lockable/unlockable to prevent/allow sample from passing through the check valves 70, 80. The locking mechanisms and unlocking mechanisms 74, 84 may include flexible upper dome members of the check valves 70, 80 that may be pressed downward into a dimpled configuration (and remain in this position) in contact with a check valve mechanism to prevent the check valve from  
10 opening, effectively locking the check valves 70, 80, and moved upward out of contact with the check valve mechanism to a domed configuration (and remain in this position) to open the check valves 70, 80 for normal use. Other locking and unlocking mechanisms may be used for the valves 70, 80 such as, but not limited to, folding elements and retracting elements. The locking mechanisms for the valves 70, 80 may  
15 be actuated to lock a sample in the bulb pump 30 and prevent the sample from being transferred out of the bulb pump so that the assembly 10 (with sample in bulb pump 30) may be sent to a lab where a more extensive test on the locked-in sample may be performed. At the lab, the unlocking mechanisms may be actuated to unlock the check valves 70, 80 so that the sample in the bulb pump 30 may be transferred out of the bulb  
20 pump 30 through the check valve 80 for a more extensive test to be performed on the sample.

[20] The test media 110 may include visual indicia 130 to visually indicate the presence or absence of a target analyte or other target object(s). The test media 110

may include one or more of the following: base strip(s), sample pad(s), conjugate pad(s), membrane(s), and absorbent pad(s). The test media 110 may be removable/replaceable with respect to the base 20 on an upper and/or lower side of the base 20 so that different test media 110 may be used with the collection assembly 10 for performing multiple tests (e.g., multiple different tests and/or redundant tests) using the same sample. The replaceable test media 110 may be separated from the base 20 and joined to the base near line 112.

[21] With reference additionally to Figures 4A-4D, the collection assembly 10 will now be described in use as an optical assay test device in an exemplary optical assay method of use. The collection assembly 10 and method of use may be used in applications such as, but not by way of limitation, drug screening, chemical analysis, crime/accident scene investigations, ground water testing (EPA), and livestock testing.

[22] With reference to Figures 2 and 4A, the distal end 104 of the sample tube 100 may be put in communication with a fluid sample. In an embodiment of the assembly 10 where the sample tube 100 is retractable, foldable, pivotal, the sample tube may be moved to the position shown in FIGS. 1-4 so that the sample tube 100 may be put in communication with a fluid sample. The sample may be any fluid medium such as, but not by way of limitation, a gas, a liquid, a suspension, an extracted or dissolved sample, or a supercritical fluid, as long as some flow properties exist in the sample. The sample may include one or more target analytes of interest for detection. Example analytes include, but not by way of limitation, antigens, antibodies, receptors, ligands, chelates, proteins, enzymes, nucleic acids, DNA, RNA, pesticides, herbicides, inorganic or organic compounds or any material for which a specific binding reagent may be found.



[23] With reference to Figures 3 and 4B, the bulb 30 is depressed, causing the spike 60 to pierce the membrane 50 of the reagent bladder 40 and the bladder 40 to rupture.

[24] With reference to Figure 3C, release of the bulb 30 creates a vacuum force in the bulb 30, causing the sample to flow from the sample reservoir, through the tube 100 and the inlet check valve 70, into the interior 64 of the bulb 30, where the sample mixes and reacts with the reagent.

[25] With reference to Figure 4D, the bulb 30 is depressed again, causing the resulting reaction fluid to flow via the fluid path 69 out of the bulb 30 and bladder 40, through the outlet check valve 80 and the one or more fluid paths 120, and to the test media 110. The visual indicia 130 of the test media 110 may indicate the presence or absence of a target analyte for the optical assay method.

[26] Although the collection assembly 10 has been described as including a bladder 40 that may be ruptured to mix a fluid in the bladder 40 with a sample fluid, in an alternative embodiment, the collection assembly 10 may not include the bladder 40, the pierceable membrane 50, and spike 60. In such an embodiment, the bulb pump 30 may be depressed and released, causing the sample fluid to be drawn through the sample tube 100 and the inlet check valve 70, into the interior 64 of the bulb pump 30.

Depressing the bulb pump 30 again causes sample fluid to exit the interior 64 of the bulb pump 30 via the outlet check valve 80 and be transferred through the one or more fluid paths 120 to the test media 110. Thus, in this embodiment, the assembly 10 functions as a fluid-transfer collection assembly instead of a fluid transfer and mixing collection assembly.

[27] The locking mechanisms of the check valves 70, 80 may be actuated to prevent sample from passing through the check valves 70, 80. The check valves 70, 80 may be locked, for example, but not by way of limitation, when the assembly 10 is transferred to a lab or other location for further testing on the sample in the bulb 30 and when different  
5 test media 110 are added to the assembly for performing different tests on the sample in the bulb 30. The tube 100 may be retracted, pivoted, folded, or otherwise moved to an-out-of-the-way position prior to transfer of the assembly 10 to the lab or other location. After the assembly 10 with sample is received by the lab (or a different test media 110 has been added to the assembly 10), the unlocking mechanisms may be  
10 actuated allowing the check valves 70, 80 to function normally so that sample may be transferred out of the bulb 30 by pressing on the bulb 30. Further testing is then performed on the sample.

[28] Numerous features, implementations, and embodiments of the collection assembly 10 will now be described. The collection assembly 10 may be used more  
15 than once to perform the same test, different tests, or may be disposed of after single use. Different collection assemblies 10 may be used to perform different tests. The collection assembly 10 may be used to test for one or more analytes. The collection assembly 10 may be held and operated with a single hand of a user. In the embodiment of the collection assembly 10 shown in Figures 1-3, the user may operate  
20 the bulb pump 30 with a thumb or other digit of the same hand used to hold the collection assembly 10. In an alternative embodiment, the collection assembly 10 may have more than one member (e.g., bulb pump 30) that is actuatable using any of the digits of the hand used to hold the collection assembly. For example, a first bulb pump

30/bladder 40 combination may be used to transfer a sample fluid into the first bulb pump, mix the sample fluid with a first reagent/diluent, and transfer the combined sample fluid and first reagent/diluent out of the first bulb pump. A second bulb pump 30/bladder 40 combination may be used to transfer the combined sample fluid and first reagent/diluent into the second bulb pump, mix this with a second reagent/diluent, and transfer this mixture to a test media for testing. The collection assembly 10 is especially advantageous in that the multiple transfer and mixing steps can all be done with a single hand of the user.

[29] Although the embodiment of the collection assembly 10 shown in Figures 1-3

includes a single bladder 40, in an alternative embodiment, the collection assembly 10 may have multiple bladders 40, one or more of which includes a rupturable membrane 50. The bladders 40 may contain the same or different reagent(s)/diluent(s). Further, the collection assembly 10 may have one or more bladders 40 containing one or more reagent(s)/diluent(s) and/or one or more separate reagent(s)/diluent(s) may be used

with collection assembly 10 during the test process. In a still further embodiment of the collection assembly 10, the collection assembly 10 may not have any bladder 40. In such an embodiment, separate diluent(s)/reagent(s) may be used with collection assembly 10 during the test process or no diluent(s)/reagent(s) may be used with collection assembly 10 during the test process, e.g., the sample fluid may be the only

fluid transferred and collected by the assembly 10.

[30] In one or more embodiments of the collection assembly 10, the sample tube 100 may have one or more of the following: the sample tube 100 may be fixed to the inlet 72, the sample tube 100 may be retractable, the sample tube 100 may not be

retractable, the sample tube 100 may lock to the inlet 72, the sample tube 100 may not lock 72 to the inlet 72, the sample tube 100 may detachably connect to the inlet 72, the sample tube may include or be replaced with one or more wicks, sponges, open-cell foams, porous materials, or other absorbent materials, the sample tube 100 may fold or pivot with respect to the base 20 from the position shown in FIGS. 1-4 to an out-of-the-way position (e.g., in a groove or recess on the bottom of the base 20), and the sample tube 100 may be integrated with the base 20.

[31] In a further embodiment, the collection assembly 10 may include one or both of the inlet check valve 70 and the outlet check valve 80. Further, one or both of the inlet check valve 70 and the outlet check valve 80 may be replaced with one or more different types of valves. Still further, the collection assembly 10 may have a number of valves other than that shown in Figures 1-3, the number of valves depending on the number of bulb pumps 30.

[32] The assembly 10 is advantageous in that it can be gripped in one hand and by the simple action of pressing and releasing the bulb pump 30 with a digit of the same hand, fluid can be drawn into the bulb pump 30 through the check valve 70. If the assembly 10 includes a rupturable bladder 40 with a different fluid and the bulb pump 30 includes a spike, pressing and releasing the bulb pump 30 can cause the bladder to rupture and the fluids to mix in the bulb pump 30. Pressing the bulb pump 30 again pumps the fluid out of the bulb pump 30 through the outlet check valve 80. In an exemplary embodiment of the assembly 10, the fluid pumped out of the bulb pump 30 can be collected on a test media to test the fluid for the presence or absence of a target object in the fluid. Because the unit is so simple to use, the assembly 10 may be used

by the user for testing in the field, in the lab, and in the home for a wide variety of applications.

[33] It will be readily apparent to those skilled in the art that still further changes and modifications in the actual concepts described herein can readily be made without

5 departing from the spirit and scope of the invention as defined by the following claims.